

## PAPER

# Secret Sharing Schemes Based on Linear Codes Can Be Precisely Characterized by the Relative Generalized Hamming Weight

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**SUMMARY** This paper precisely characterizes secret sharing schemes based on arbitrary linear codes by using the relative dimension/length profile (RDLP) and the relative generalized Hamming weight (RGHW). We first describe the equivocation  $\Delta_m$  of the secret vector  $\vec{s} = [s_1, \dots, s_l]$  given  $m$  shares in terms of the RDLP of linear codes. We also characterize two thresholds  $t_1$  and  $t_2$  in the secret sharing schemes by the RGHW of linear codes. One shows that any set of at most  $t_1$  shares leaks no information about  $\vec{s}$ , and the other shows that any set of at least  $t_2$  shares uniquely determines  $\vec{s}$ . It is clarified that both characterizations for  $t_1$  and  $t_2$  are better than Chen et al.'s ones derived by the regular minimum Hamming weight. Moreover, this paper characterizes the strong security in secret sharing schemes based on linear codes, by generalizing the definition of strongly-secure threshold ramp schemes. We define a secret sharing scheme achieving the  $\alpha$ -strong security as the one such that the mutual information between any  $r$  elements of  $(s_1, \dots, s_l)$  and any  $\alpha - r + 1$  shares is always zero. Then, it is clarified that secret sharing schemes based on linear codes can always achieve the  $\alpha$ -strong security where the value  $\alpha$  is precisely characterized by the RGHW.

**key words:** secret sharing scheme, linear code, relative generalized Hamming weight, relative dimension/length profile

## 1. Introduction

Secret sharing scheme [2], [15] is a process of encoding a secret  $s$  into a set of  $n$  pieces of information segments (called *shares*) in such a way that only certain subsets of them can determine  $s$ . The collection of subsets that can determine  $s$  is called the *access structure* of the secret sharing scheme. An element in the access structure is called *qualified set*, otherwise, *nonqualified set*. Secret sharing schemes typically have the following complementary thresholds  $t_1, t_2 (\leq n)$ : (1) any set of at most  $t_1$  shares leaks no information of the secret, and (2) any set of at least  $t_2$  shares is qualified set. When the secret sharing scheme satisfies  $t_1 + 1 = t_2$ , the scheme is called a secret sharing scheme with the  $(t_2, n)$ -threshold access structure or  $(t_2, n)$ -threshold scheme. Shamir's scheme [15] is based on inter-

polation of a  $(t_2 - 1)$ -degree polynomial, and it is known as a typical  $(t_2, n)$ -threshold scheme.

McEliece et al. [12] first investigated the relation between linear codes and secret sharing schemes. They pointed out that shares in Shamir's threshold scheme [15] can be viewed as symbols of a codeword in Reed-Solomon code [10]. Pieprzyk et al. [14] clarified that threshold schemes can be constructed from maximum distance separable (MDS) codes [10]. Massey [11] extended McEliece et al.'s construction to those based on general linear codes  $\mathcal{C}$ , and demonstrated that there exists a relationship between a qualified set and a codeword in the dual code  $\mathcal{C}^\perp$  of  $\mathcal{C}$ . Durusma et al. [6] gave another construction of secret sharing schemes which use a linear code  $\mathcal{C}$  and its subcode  $\mathcal{C}' \subset \mathcal{C}$  with  $\dim(\mathcal{C}/\mathcal{C}') = 1$  to encode  $s$  into shares. Moreover, they characterized the thresholds  $t_1, t_2$  in their scheme by the minimum distance of the collection of cosets  $\mathcal{C}/\mathcal{C}'$ , called *coset distance*.

On the other hand, since regular  $(t_2, n)$ -threshold schemes generate shares from a secret scalar  $s$ , i.e., an element of a field  $\mathbb{F}$ , Blakley [1] and Yamamoto [18] generalized  $(t_2, n)$ -threshold schemes for an  $l$ -dimensional vector  $\vec{s} = [s_1, \dots, s_l] \in \mathbb{F}^l$  ( $1 \leq l \leq t_2 - 1$ ). Their schemes are called  $(t_2, l, n)$ -threshold ramp schemes, and realize  $l$  times smaller bit-size of each share than regular  $(t_2, n)$ -threshold schemes. In  $(t_2, l, n)$ -threshold schemes, there exists a trade-off between the size of each share and the amount of information leaked from nonqualified sets according to  $l$ . Namely, the thresholds  $t_1, t_2$  satisfy  $t_1 + l = t_2$ , and  $i/l$  ( $i = 1, \dots, l - 1$ ) of Shannon entropy about  $\vec{s}$  leaks from any set of  $t_1 + i$  shares. Yamamoto [18] classified threshold ramp schemes into two classes. One is *weakly-secure* schemes, and the other is *strongly-secure* schemes. In the case of weakly-secure schemes, some elements of  $\vec{s}$  might leak out deterministically from a set whose cardinality is smaller than  $t_2$ . In contrast, strongly-secure schemes guarantee that no information about a tuple  $(s_i : i \in \mathcal{S})$  for any  $\mathcal{S} \subseteq \{1, \dots, l\}$  can be obtained from any  $t_2 - |\mathcal{S}|$  shares. Hence, strongly-secure schemes are more secure than weakly-secure schemes. Threshold ramp schemes can be constructed by using MDS codes as well as regular threshold schemes. Nishiara et al. [13] proposed a strongly-secure threshold ramp schemes based on a polynomial interpolation. Their scheme employs a systematic MDS code transformed from a Reed-Solomon code.

Chen et al. [4] extended threshold ramp schemes, and

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**Table 1** Comparison of characterizations for thresholds  $t_1, t_2$ .

	Characterization method	Tightness of the characterization
[4, Sect. 4.1]	by minimum Hamming weight	not tight (Proposition 15, Example 16)
[4, Sect. 4.2]	by minimum Hamming weight	not tight (Proposition 12, Example 14)
[6]	by coset distance	tight (only for a scalar secret)
Theorem 9	by RGHW	always tight

**Table 2** Comparison of characterizations for the  $\alpha$ -strong security.

	Characterization method	Tightness of the characterization
[8]	by minimum Hamming weight	not tight (Proposition 20, Example 16)
Theorem 19	by RGHW	always tight

proposed two secret sharing schemes based on arbitrary linear codes. Namely, one given in [4, Sect. 4.1] is a simple extension of Massey's construction for an  $l$ -dimensional secret vector  $\vec{s}$ . The other called *more fruitful approach* in [4, Sect. 4.2] can be also viewed as a generalization of Duursma et al.'s construction [6] for  $\vec{s}$ . Later, Subramanian et al. [16] proposed a nested coding scheme over the erasure-erasure wiretap channel, which can be viewed as the same secret sharing scheme as Chen et al.'s more fruitful approach. By the minimum Hamming weight of linear codes, Chen et al. characterized thresholds  $t_1$  and  $t_2$  in each of their two constructions, respectively. However, these characterizations are loose, i.e., they do not always describe the maximum possible value of  $t_1$  and the minimum possible value of  $t_2$  in their schemes. Also, the information leaked from more than  $t_1$  shares was not precisely analyzed. That is, no precise characterization of secret sharing schemes based on arbitrary linear codes has been presented yet.

The first aim of this paper is to characterize secret sharing schemes based on general linear codes precisely. We give a formal definition of secret sharing schemes based on linear codes by a linear code  $\mathcal{D}_1$ , its subcode  $\mathcal{D}_2$ , and their punctured codes  $\mathcal{C}_1$  and  $\mathcal{C}_2$ . Our definition includes Massey's construction [11], Duursma et al.'s one [6] and both of Chen et al.'s two constructions [4]. We precisely characterize the minimum uncertainty (called *equivocation* [17]) of the secret vector given  $m(\leq n)$  shares by the relative dimension/length profile (RDLP) [9] of  $\mathcal{C}_1, \mathcal{C}_2$  or  $\mathcal{D}_1, \mathcal{D}_2$ . We also derive a new characterization of thresholds  $t_1$  and  $t_2$  by the relative generalized Hamming weight (RGHW) [9]. Table 1 summarizes the comparison of our characterization by the RGHW and existing ones by different methods for thresholds  $t_1, t_2$ . Duursma et al.'s characterization by the coset distance can be viewed as a special case of ours where the secret is restricted to be an elements of  $\mathbb{F}$ . Moreover, it is clarified that our characterization by the RGHW always describe the maximum possible  $t_1$  and the minimum possible  $t_2$ , unlike Chen et al.'s ones.

The second aim of this paper is to characterize the strong security in secret sharing schemes based on general linear codes, by generalizing the definition of strongly-secure threshold ramp schemes. We first define an *anti-access set*  $\mathcal{J}$  as a special set of shares, which is a generalized definition of nonqualified sets in strongly-secure ramp

threshold schemes [18]. An anti-access set  $\mathcal{J}$  guarantees that for any  $\mathcal{I} \subseteq \{1, \dots, l\}$ , no information about a tuple  $(s_i : i \in \mathcal{I})$  can be obtained from  $|\mathcal{I}| + 1 - |\mathcal{J}|$  shares of  $\mathcal{J}$ . We also define a secret sharing scheme achieving the  $\alpha$ -strong security as the one such that all subsets of shares with cardinality at most  $\alpha$  are anti-access sets. We then clarify that the schemes of Massey [11] and Chen et al. [4, Sect. 4.1] can always achieve the  $\alpha$ -strong security where the value  $\alpha$  is precisely characterized by the RGHW. Table 2 presents the comparison of our characterization by the RGHW and existing one for the  $\alpha$ -strong security. Similar to the thresholds  $t_1, t_2$  we stated above, it is proved that the characterization of  $\alpha$  by the RGHW is better than the existing characterization by the minimum Hamming weight [8].

One merit of secret sharing schemes based on arbitrary linear code is the efficiency in terms of the size of a field  $\mathbb{F}$ , since there is a rich variety of long linear codes over  $\mathbb{F}$  rather than MDS codes over  $\mathbb{F}$ . This is because the MDS nature of the code restricts the maximum possible number of shares in threshold schemes. In fact, the so-called Main Conjecture on MDS codes [10, p.327] implies that the length of an MDS code over  $\mathbb{F}$  is at most  $|\mathbb{F}|$  plus a constant (1 or 2). Especially, the possible length of Reed-Solomon code over  $\mathbb{F}$  is at most  $|\mathbb{F}| - 1$ . Hence, in order to generate more than  $|\mathbb{F}|$  shares from a secret element  $s \in \mathbb{F}$  by an MDS code, the MDS code must be constructed over a field whose size is larger than  $|\mathbb{F}|$ . It is quite inefficient, especially in the case where a secret sharing scheme is used in cryptographic secure computation [4]. In contrast, secret sharing schemes based on long linear codes can construct such applications efficiently. Then, our characterization precisely determines the property of secret sharing schemes from the parameter of the linear code.

The remainder of this paper is organized as follows. Sect. 2 introduces basic notations and gives a formal definition of secret sharing schemes based on linear codes. Sect. 3 precisely characterizes the amount of the secret information leaked from  $m(\leq n)$  pieces of shares, and clarifies that our characterization is better than existing researches. Sect. 4 gives the definition of the strong security in secret sharing schemes. Further, this section reveals the region of the number of shares, in which the strong security can be achieved. Finally, Sect. 5 concludes this paper.

## 2. Preliminary

### 2.1 Basic Notations

Let  $H(X)$  be Shannon's entropy of a random variable  $X$ ,  $H(X|Y)$  be the conditional entropy of  $X$  given  $Y$ , and  $I(X;Y)$  be the mutual information between  $X$  and  $Y$  [5]. Let  $\mathbb{F}$  stand for a finite field. Let  $|\mathcal{X}|$  denote the cardinality of a set  $\mathcal{X}$ . For sets  $\mathcal{X}$  and  $\mathcal{Y}$ , we denote by  $\mathcal{X} \setminus \mathcal{Y} = \{x \in \mathcal{X} : x \notin \mathcal{Y}\}$  the difference of sets  $\mathcal{X}$  and  $\mathcal{Y}$ . The *Hamming weight* of a vector  $\vec{x} = [x_1, \dots, x_n] \in \mathbb{F}^n$  is defined by

$$\text{wt}(\vec{x}) = |\{i : x_i \neq 0\}|.$$

The *Hamming distance* between two vectors  $\vec{x} = [x_1, \dots, x_n] \in \mathbb{F}^n$ ,  $\vec{y} = [y_1, \dots, y_n] \in \mathbb{F}^n$  is defined by

$$d(\vec{x}, \vec{y}) = |\{i : x_i \neq y_i\}|.$$

For a linear subspace  $\mathcal{C} \subseteq \mathbb{F}^n$ , the *minimum Hamming distance* or *minimum Hamming weight* of  $\mathcal{C}$  is given by

$$\begin{aligned} d(\mathcal{C}) &= \min \{d(\vec{x}, \vec{y}) : \vec{x}, \vec{y} \in \mathcal{C}, \vec{x} \neq \vec{y}\} \\ &= \min \left\{ \text{wt}(\vec{x}) : \vec{x} \in \mathcal{C} \setminus \{\vec{0}\} \right\}. \end{aligned}$$

An  $[n, k, d]$  linear code  $\mathcal{C}$  over  $\mathbb{F}$  is a  $k$ -dimensional subspace of  $\mathbb{F}^n$ , where  $d = d(\mathcal{C})$ . A subspace of a code is called a *subcode*. For any linear code  $\mathcal{C}$ , we define its *dual code* by

$$\mathcal{C}^\perp = \{\vec{x} \in \mathbb{F}^n : \vec{x} \cdot \vec{y} = 0, \forall \vec{y} \in \mathcal{C}\},$$

where  $\vec{x} \cdot \vec{y}$  represents the standard inner product of vectors  $\vec{x}$  and  $\vec{y}$ .

### 2.2 Secret Sharing Scheme Based on Linear Codes

In this subsection, we formally define a secret sharing scheme based on linear codes.

Let  $\mathcal{A} = \{1, \dots, N\}$  be a set of indices. For a subset  $\mathcal{J} \subseteq \mathcal{A}$  and a vector  $\vec{c} = [c_1, \dots, c_N] \in \mathbb{F}^N$ , let  $P_{\mathcal{J}}(\vec{c})$  be a vector of length  $N$ , and the  $t$ -th component of  $P_{\mathcal{J}}(\vec{c})$  is  $c_t$  if  $t \in \mathcal{J}$  and given by 0 if  $t \notin \mathcal{J}$ . For example for  $\mathcal{J} = \{1, 3, 5\}$  and  $\vec{c} = [1, 1, 0, 1, 1]$  ( $N = 5$ ), we have  $P_{\mathcal{J}}(\vec{c}) = [1, 0, 0, 0, 1]$ . The *projection or punctured code*  $P_{\mathcal{J}}(\mathcal{C})$  of a code  $\mathcal{C} \in \mathbb{F}^N$  is the map given by

$$P_{\mathcal{J}}(\mathcal{C}) = \{P_{\mathcal{J}}(\vec{c}) : \vec{c} \in \mathcal{C}\}.$$

Now we define the following secret sharing scheme that generates  $n$  shares.

**Definition 1** (Secret sharing schemes). Let  $\mathcal{D}_1 \subseteq \mathbb{F}^N$  be a linear code over  $\mathbb{F}$ , and  $\mathcal{D}_2 \subset \mathcal{D}_1$  be a subcode of  $\mathcal{D}_1$ . For an index set  $\mathcal{X} \subseteq \mathcal{A} = \{1, \dots, N\}$  with  $|\mathcal{X}| = n$  elements, we define the punctured codes  $\mathcal{C}_1 = P_{\mathcal{X}}(\mathcal{D}_1)$  and  $\mathcal{C}_2 = P_{\mathcal{X}}(\mathcal{D}_2)$ . Assume that  $|\mathcal{X}|$  is chosen in such a way that  $\mathcal{C}_1 \neq \mathcal{C}_2$ . Choose an arbitrary linear code  $\mathcal{S}$  satisfying

$$\mathcal{C}_1 = \mathcal{S} + \mathcal{C}_2 \text{ and } \mathcal{S} \cap \mathcal{C}_2 = \{\vec{0}\},$$

i.e., direct sum. We then write the dimension of the coset  $\mathcal{C}_1/\mathcal{C}_2$  by

$$l = \dim(\mathcal{C}_1/\mathcal{C}_2) = \dim \mathcal{S}.$$

Let  $\vec{s} \in \mathbb{F}^l$  be the secret which is assumed to be uniformly distributed over  $\mathbb{F}^l$ . To generate  $n$  shares, we first choose a codeword  $\vec{c}_2 \in \mathcal{C}_2$  uniformly at random and independently from  $\vec{s}$ . Fix an arbitrary isomorphism  $\psi : \mathbb{F}^l \rightarrow \mathcal{S}$ . Then, generate a share vector  $\vec{c}_1 = [c_1, \dots, c_N] = \psi(\vec{s}) + \vec{c}_2 \in \mathcal{C}_1$ , and send or store each element  $c_i$  for  $i \in \mathcal{X}$  as a share.

Here we note that  $\mathcal{C}_2 \subset \mathcal{C}_1$  always holds in this definition. Also note that  $\mathcal{S}$  can be always chosen, for instance by completing a basis of  $\mathcal{C}_2$  to one of  $\mathcal{C}_1$ .

Let us consider the following case. Assume that  $N - n < d(\mathcal{D}_1)$  and  $N - n = \dim \mathcal{D}_1 - \dim \mathcal{D}_2$ . We then write  $l = \dim \mathcal{D}_1 - \dim \mathcal{D}_2 = N - n$ , and let  $\mathcal{X} = \{l + 1, \dots, l + n\}$ . Choose  $\psi$  in such a way that  $([\vec{s}, \vec{0}] + \psi(\vec{s}) + \vec{c}_2) \in \mathbb{F}^N$  is a codeword of  $\mathcal{D}_1$ . Then, our definition is equivalent to the one proposed by Massey [11] and Chen et al. [4, Sect. 4.1].

Moreover, the scheme given by Duursma et al. [6] and the scheme referred to as *a more fruitful approach* in [4, Sect. 4.2] by Chen et al. are also included in our definition as the case for  $\mathcal{X} = \mathcal{A}$ , i.e.,  $\mathcal{C}_1 = \mathcal{D}_1$  and  $\mathcal{C}_2 = \mathcal{D}_2$ .

## 3. Characterization of Secret Sharing Schemes

This section precisely characterizes the amount of the secret information leaked from  $m(\leq n)$  pieces of shares in the secret sharing scheme given by Definition 1.

### 3.1 Equivocation of the Secret

Let  $S$  be a random variable whose realization is a secret vector  $\vec{s}$ . Let  $C_{\mathcal{J}} = (C_i : i \in \mathcal{J})$  be a tuple of random variables for an index set  $\mathcal{J} \subseteq \mathcal{X}$ , where the realization of  $C_i$  is a share  $c_i$ . Then, the minimum uncertainty of  $S$  given  $m$  shares is defined by

$$\Delta_m = \min_{\mathcal{J} \subseteq \mathcal{X}, |\mathcal{J}|=m} H(S|C_{\mathcal{J}}), \quad (1)$$

which is called *equivocation* [17]. We will clarify that, in the secret sharing scheme defined by Definition 1, the equivocation of  $S$  is precisely characterized by the relative dimension/length profile (RDLP) [9].

For a subset  $\mathcal{J}$  of  $\mathcal{A} = \{1, \dots, N\}$ , the *shortened code*  $\mathcal{C}_{\mathcal{J}}$  of a code  $\mathcal{C} \subseteq \mathbb{F}^N$  is defined as the set of all codewords whose components are all zero outside of  $\mathcal{J}$ , that is,

$$\mathcal{C}_{\mathcal{J}} = \{\vec{c} = [c_1, \dots, c_N] \in \mathcal{C} : c_i = 0 \text{ for } i \notin \mathcal{J}\}.$$

For example, for  $\mathcal{J} = \{2, 3\}$  ( $N = 3$ ) and

$$\mathcal{C} = \{[0, 0, 0], [1, 1, 0], [1, 0, 1], [0, 1, 1]\},$$

we have  $\mathcal{C}_{\mathcal{J}} = \{[0, 0, 0], [0, 1, 1]\}$ .

The RDLP of  $\mathcal{C}_1$  and  $\mathcal{C}_2$  is defined by the maximum difference of dimension between shortened codes  $(\mathcal{C}_1)_{\mathcal{J}}$  and  $(\mathcal{C}_2)_{\mathcal{J}}$  as follows.

**Definition 2** (Relative dimension/length profile [9]). Let  $\mathcal{C}_1 \in \mathbb{F}^N$  be a linear code and  $\mathcal{C}_2$  be a subcode of  $\mathcal{C}_1$ . The  $i$ -th relative dimension/length profile (RDLP) of  $\mathcal{C}_1$  and  $\mathcal{C}_2$  is defined by

$$K_i(\mathcal{C}_1, \mathcal{C}_2) = \max_{\mathcal{J} \subseteq \mathcal{A}, |\mathcal{J}|=i} \{ \dim (\mathcal{C}_1)_{\mathcal{J}} - \dim (\mathcal{C}_2)_{\mathcal{J}} \},$$

for  $0 \leq i \leq N$ .

**Remark 3.** When  $\mathcal{C}_2 = \{\vec{0}\}$  in this definition, the  $i$ -th RDLP  $K_i(\mathcal{C}_1, \mathcal{C}_2) = K_i(\mathcal{C}_1, \{\vec{0}\})$  is equivalent to the  $i$ -th regular dimension/length profile [7] of  $\mathcal{C}_1$ .

Note that, for a code  $\mathcal{C} \subseteq \mathbb{F}^N$  and an index set  $\mathcal{J} \subseteq \mathcal{A}$ , dual codes of a punctured code  $P_{\mathcal{J}}(\mathcal{C})$  and a shortened code  $\mathcal{C}_{\mathcal{J}}$  are defined as

$$\begin{aligned} (P_{\mathcal{J}}(\mathcal{C}))^{\perp} &= \{ \vec{x} \in P_{\mathcal{J}}(\mathbb{F}^N) : \vec{x} \cdot \vec{y} = 0, \forall \vec{y} \in P_{\mathcal{J}}(\mathcal{C}) \}, \\ (\mathcal{C}_{\mathcal{J}})^{\perp} &= \{ \vec{x} \in P_{\mathcal{J}}(\mathbb{F}^N) : \vec{x} \cdot \vec{y} = 0, \forall \vec{y} \in \mathcal{C}_{\mathcal{J}} \}, \end{aligned}$$

respectively, i.e., dual codes over  $P_{\mathcal{J}}(\mathbb{F}^N)$ .

Theorem 4 determines the equivocation  $\Delta_m$  defined in Eq.(1) by the RDLP of  $\mathcal{C}_2^{\perp}$  and  $\mathcal{C}_1^{\perp}$ , and also  $(\mathcal{D}_2^{\perp})_{\mathcal{X}}$  and  $(\mathcal{D}_1^{\perp})_{\mathcal{X}}$ .

**Theorem 4.** In the secret sharing scheme defined by Definition 1, the equivocation given  $m(\leq n)$  shares is characterized by the RDLP as follows.

$$\begin{aligned} \Delta_m &= l - K_m(\mathcal{C}_2^{\perp}, \mathcal{C}_1^{\perp}) \\ &= l - K_m((\mathcal{D}_2^{\perp})_{\mathcal{X}}, (\mathcal{D}_1^{\perp})_{\mathcal{X}}). \end{aligned}$$

*Proof.* Let  $\mathcal{J} \subseteq \mathcal{X}$  be an arbitrary index set with cardinality  $|\mathcal{J}| = m$ . It is shown by [4, Theorem 10] that we have the conditional entropy of  $S$  given  $C_{\mathcal{J}}$  as follows.

$$H(S|C_{\mathcal{J}}) = l - \dim P_{\mathcal{J}}(\mathcal{C}_1) + \dim P_{\mathcal{J}}(\mathcal{C}_2). \quad (2)$$

For a code  $\mathcal{C} \subseteq \mathbb{F}^N$  and an index set  $\mathcal{J} \subseteq \mathcal{A}$ , we have  $P_{\mathcal{J}}(\mathcal{C}^{\perp}) = (\mathcal{C}_{\mathcal{J}})^{\perp}$  and hence  $P_{\mathcal{J}}(\mathcal{C}) = P_{\mathcal{J}}((\mathcal{C}^{\perp})^{\perp}) = ((\mathcal{C}^{\perp})_{\mathcal{J}})^{\perp}$  from Forney's second duality lemma [7, Lemma 2]. Thus, Eq.(2) can be rewritten as

$$\begin{aligned} H(S|C_{\mathcal{J}}) &= l - \dim ((\mathcal{C}_1^{\perp})_{\mathcal{J}})^{\perp} + \dim ((\mathcal{C}_2^{\perp})_{\mathcal{J}})^{\perp} \\ &= l - m + \dim (\mathcal{C}_1^{\perp})_{\mathcal{J}} + m - \dim (\mathcal{C}_2^{\perp})_{\mathcal{J}} \\ &= l - \dim (\mathcal{C}_2^{\perp})_{\mathcal{J}} + \dim (\mathcal{C}_1^{\perp})_{\mathcal{J}}. \end{aligned}$$

Recall  $\mathcal{C}_1^{\perp}$  and  $\mathcal{C}_2^{\perp}$  are defined as subspaces of  $P_{\mathcal{X}}(\mathbb{F}^N)$  for an index set  $\mathcal{X} \subseteq \mathcal{A}$ . Hence the equivocation  $\Delta_m$  is given by

$$\begin{aligned} \Delta_m &= l - \max_{\mathcal{J} \subseteq \mathcal{X}, |\mathcal{J}|=m} \{ \dim (\mathcal{C}_2^{\perp})_{\mathcal{J}} - \dim (\mathcal{C}_1^{\perp})_{\mathcal{J}} \} \\ &= l - K_m(\mathcal{C}_2^{\perp}, \mathcal{C}_1^{\perp}), \end{aligned}$$

from Definition 2.

Lastly, we will prove the second equality. Forney's second duality lemma [7, Lemma 2] yields  $\mathcal{C}_i = P_{\mathcal{X}}(\mathcal{D}_i) = P_{\mathcal{X}}((\mathcal{D}_i^{\perp})^{\perp}) = ((\mathcal{D}_i^{\perp})_{\mathcal{X}})^{\perp}$ , and hence  $\mathcal{C}_i^{\perp} = (\mathcal{D}_i^{\perp})_{\mathcal{X}}$  for  $i = 1, 2$ . We thus have

$$\Delta_m = l - K_m((\mathcal{D}_2^{\perp})_{\mathcal{X}}, (\mathcal{D}_1^{\perp})_{\mathcal{X}}).$$

□

### 3.2 Bounds of Thresholds

Secret sharing schemes typically have the following complementary *thresholds*  $t_1, t_2$ : (1) any set  $\mathcal{J} \subseteq \mathcal{X}$  of at most  $t_1$  shares offers the mutual information  $I(S; C_{\mathcal{J}}) = 0$ , and (2) any set  $\mathcal{J} \subseteq \mathcal{X}$  of at least  $t_2$  shares offers the mutual information  $I(S; C_{\mathcal{J}}) = H(S)$ . This section clarifies the maximum value of  $t_1$  and minimum value of  $t_2$  in the secret sharing scheme of Definition 1 by the relative generalized Hamming weight (RGHW) defined by Luo et al. [9].

**Definition 5** (Relative generalized Hamming weight [9]). Let  $\mathcal{A} = \{1, \dots, N\}$ . Let  $\mathcal{C}_1 \in \mathbb{F}^N$  be a linear code and  $\mathcal{C}_2$  be a subcode of  $\mathcal{C}_1$ . The  $i$ -th relative generalized Hamming weight (RGHW) of  $\mathcal{C}_1$  and  $\mathcal{C}_2$  is defined by

$$M_i(\mathcal{C}_1, \mathcal{C}_2) = \min_{\mathcal{J} \subseteq \mathcal{A}} \{ |\mathcal{J}| : \dim (\mathcal{C}_1)_{\mathcal{J}} - \dim (\mathcal{C}_2)_{\mathcal{J}} \geq i \},$$

for  $0 \leq i \leq \dim (\mathcal{C}_1/\mathcal{C}_2)$ .

The following proposition given by Luo et al. [9] clarifies the relationship between the RGHW and the RDLP.

**Proposition 6** ([9, Proposition 2]). Let  $\mathcal{A} = \{1, \dots, N\}$ . For a linear code  $\mathcal{C}_1 \in \mathbb{F}^N$  and its subcode  $\mathcal{C}_2 \subset \mathcal{C}_1$ , the  $i$ -th RGHW  $M_i(\mathcal{C}_1, \mathcal{C}_2)$  is strictly increasing with  $i$ . Moreover,  $M_0(\mathcal{C}_1, \mathcal{C}_2) = 0$  and

$$\begin{aligned} M_i(\mathcal{C}_1, \mathcal{C}_2) &= \min_{\mathcal{J} \subseteq \mathcal{A}} \{ |\mathcal{J}| : \dim (\mathcal{C}_1)_{\mathcal{J}} - \dim (\mathcal{C}_2)_{\mathcal{J}} = i \}, \\ &= \min \{ m : K_m(\mathcal{C}_1, \mathcal{C}_2) = i \}, \end{aligned}$$

for  $0 \leq i \leq \dim (\mathcal{C}_1/\mathcal{C}_2)$ , where  $K_m(\cdot, \cdot)$  is the RDLP defined by Definition 2.

**Remark 7.** When  $\mathcal{C}_2 = \{\vec{0}\}$ , the  $i$ -th RGHW  $M_i(\mathcal{C}_1, \mathcal{C}_2) = M_i(\mathcal{C}_1, \{\vec{0}\})$  is equivalent to the  $i$ -th regular generalized Hamming weight [17] of  $\mathcal{C}_1$ .

**Remark 8.** The first RGHW  $M_1(\mathcal{C}_1, \mathcal{C}_2)$  of  $\mathcal{C}_1$  and  $\mathcal{C}_2$  is equivalent to the coset distance of  $\mathcal{C}_1/\mathcal{C}_2$ , given by Duursma et al. [6].

We then give the following theorem.

**Theorem 9.** Consider the secret sharing scheme defined by Definition 1. Then, for a set  $\mathcal{J} \subseteq \mathcal{X}$ , the mutual information between  $S$  and  $C_{\mathcal{J}}$  satisfies  $I(S; C_{\mathcal{J}}) = 0$  if

$$|\mathcal{J}| \leq M_1(\mathcal{C}_2^{\perp}, \mathcal{C}_1^{\perp}) - 1, \quad (3)$$

and  $I(S; C_{\mathcal{S}}) = H(S)$  if

$$|\mathcal{S}| \geq n - M_1(\mathcal{C}_1, \mathcal{C}_2) + 1. \quad (4)$$

*Proof.* For an arbitrary index set  $\mathcal{S} \subseteq \mathcal{X}$  with cardinality  $|\mathcal{S}| = m$ , the maximum mutual information between  $S$  and  $C_{\mathcal{S}}$  is expressed by the equivocation from Theorem 4 as follows.

$$\begin{aligned} \max_{\mathcal{S} \subseteq \mathcal{X}, |\mathcal{S}|=m} I(S; C_{\mathcal{S}}) &= H(S) - \min_{\mathcal{S} \subseteq \mathcal{X}, |\mathcal{S}|=m} H(S|C_{\mathcal{S}}) \\ &= K_m(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp). \end{aligned}$$

Hence, from Proposition 6, the smallest index set satisfying  $I(S; C_{\mathcal{S}}) = 1$  is of size

$$\min\{m : K_m(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp) = 1\} = M_1(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp).$$

This implies that  $I(S; C_{\mathcal{S}}) = 0$  whenever Eq.(3) holds.

Next consider the minimum mutual information between  $S$  and  $C_{\mathcal{S}}$  for  $|\mathcal{S}| = m$ . The relation between the shortened code and punctured code of a code  $\mathcal{C} \subseteq \mathbb{F}^N$  for an index set  $\mathcal{J} \subseteq \mathcal{A}$  is given by  $\dim \mathcal{C} = \dim \mathcal{C}_{\mathcal{J}} + \dim P_{\mathcal{J}}(\mathcal{C})$  from Forney's first duality lemma [7, Lemma 1], where  $\bar{\mathcal{J}} = \mathcal{A} \setminus \mathcal{J}$ . Hence, Eq.(2) can be rewritten as

$$H(S|C_{\mathcal{S}}) = \dim(\mathcal{C}_1)_{\bar{\mathcal{S}}} - \dim(\mathcal{C}_2)_{\bar{\mathcal{S}}}, \quad (5)$$

where  $\bar{\mathcal{S}} = \mathcal{X} \setminus \mathcal{S}$ . Thus, the minimum mutual information is given by

$$\begin{aligned} \min_{\mathcal{S} \subseteq \mathcal{X}, |\mathcal{S}|=m} I(S; C_{\mathcal{S}}) &= H(S) - \max_{\mathcal{S} \subseteq \mathcal{X}, |\mathcal{S}|=m} H(S|C_{\mathcal{S}}) \\ &= l - \max_{\mathcal{S} \subseteq \mathcal{X}, |\mathcal{S}|=m} \{\dim(\mathcal{C}_1)_{\bar{\mathcal{S}}} - \dim(\mathcal{C}_2)_{\bar{\mathcal{S}}}\} \\ &= l - \max_{\bar{\mathcal{S}} \subseteq \mathcal{X}, |\bar{\mathcal{S}}|=n-m} \{\dim(\mathcal{C}_1)_{\bar{\mathcal{S}}} - \dim(\mathcal{C}_2)_{\bar{\mathcal{S}}}\} \\ &= l - K_{n-m}(\mathcal{C}_1, \mathcal{C}_2). \end{aligned}$$

From Proposition 6, we thus have the largest index set  $\mathcal{S}$  satisfying  $I(S; C_{\mathcal{S}}) = l - 1$  is of size

$$\begin{aligned} \max\{m : K_{n-m}(\mathcal{C}_1, \mathcal{C}_2) = 1\} &= \max\{n - m : K_m(\mathcal{C}_1, \mathcal{C}_2) = 1\} \\ &= n - \min\{m : K_m(\mathcal{C}_1, \mathcal{C}_2) = 1\} \\ &= n - M_1(\mathcal{C}_1, \mathcal{C}_2). \end{aligned}$$

This implies that  $I(S; C_{\mathcal{S}}) = 0$  whenever Eq.(4) holds.  $\square$

**Remark 10.** Eq.(5) is the same equation as [16, Eq. (4)] derived by Subramanian et al.

The thresholds given by the right-hand side of Eq.(3) and Eq.(4) in Theorem 9 are always tight. This is because the proof of Theorem 9 also reveals that there exist index sets  $\mathcal{S} \subseteq \mathcal{X}$  with  $|\mathcal{S}| = M_1(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp)$  satisfying  $I(S; C_{\mathcal{S}}) = 1$  and  $\bar{\mathcal{S}} \subseteq \mathcal{X}$  with  $|\bar{\mathcal{S}}| = n - M_1(\mathcal{C}_1, \mathcal{C}_2)$  satisfying  $I(S; C_{\mathcal{S}}) = l - 1$ .

Although we have assumed, in Definition 1, that  $\vec{s}$  is uniformly distributed over  $\mathbb{F}^l$ , the following corollary immediately follows from [3, Lemma 2, Theorem 3].

**Corollary 11.** In Definition 1, assume that  $\vec{s} \in \mathbb{F}^l$  is chosen according to an arbitrary distribution over  $\mathbb{F}^l$  and independently from  $\vec{c}_2$ . Even in this case, Theorem 9 still holds.

Note that the size of smallest index set  $\mathcal{S}$  satisfying  $I(S; C_{\mathcal{S}}) = l$  is  $M_1(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp)$ , since  $\dim \mathcal{C}_2^\perp - \dim \mathcal{C}_1^\perp = \dim \mathcal{C}_1 - \dim \mathcal{C}_2 = l$  from Definition 1. Then,  $M_1(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp) \leq n$  always holds from Definition 5. Thus the secret vector  $\vec{s}$  can always be reconstructed from some subsets of shares in the secret sharing scheme.

### 3.2.1 Comparison with Existing Results

Here we clarify that our bounds given in Theorem 9 are tighter than the ones of existing results.

First, consider the case of  $\mathcal{X} = \mathcal{A}$ , i.e.,  $\mathcal{D}_1 = \mathcal{C}_1$  and  $\mathcal{D}_2 = \mathcal{C}_2$ , in the secret sharing scheme defined in Definition 1. Then, the scheme is equivalent to that of Duursma et al. [6] and the one referred to as a more fruitful approach in [4, Sect. 4.2]. For this case, Chen et al. proved in [4, Corollary 4] that any index set  $\mathcal{S} \subseteq \mathcal{X}$  offers  $I(S; C_{\mathcal{S}}) = 0$  if

$$|\mathcal{S}| \leq d(\mathcal{C}_2^\perp) - 1,$$

and  $I(S; C_{\mathcal{S}}) = H(S)$  if

$$|\mathcal{S}| \geq n - d(\mathcal{C}_1) + 1.$$

Although Chen et al. [4] mentioned that these bounds are not tight, they did not show any evidence.

**Proposition 12.** Assume that  $\mathcal{X} = \mathcal{A}$  in the secret sharing scheme defined by Definition 1. Then, thresholds given by the right-hand side of Eq.(3) and Eq.(4) in Theorem 9 satisfy  $M_1(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp) - 1 \geq d(\mathcal{C}_2^\perp) - 1$  and  $n - M_1(\mathcal{C}_1, \mathcal{C}_2) + 1 \leq n - d(\mathcal{C}_1) + 1$ , respectively.

*Proof.* From the definition of the RGHW (Definition 5), we always have  $M_1(\mathcal{C}_1, \mathcal{C}_2) \geq M_1(\mathcal{C}_1, \{\vec{0}\})$ . Recall that the first generalized Hamming weight [17] of  $\mathcal{C}_1$  is represented by  $M_1(\mathcal{C}_1, \{\vec{0}\})$  from Remark 7. Since the first generalized Hamming weight is the minimum Hamming weight [17], we have  $d(\mathcal{C}_1) = M_1(\mathcal{C}_1, \{\vec{0}\})$ . Thus,  $M_1(\mathcal{C}_1, \mathcal{C}_2) \geq d(\mathcal{C}_1)$  always holds. Similarly, we have  $M_1(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp) \geq M_1(\mathcal{C}_2^\perp, \{\vec{0}\}) = d(\mathcal{C}_2^\perp)$ . These establish  $|\mathcal{S}| > n - d(\mathcal{C}_1) \geq n - M_1(\mathcal{C}_1, \mathcal{C}_2)$  for the threshold of  $I(S; C_{\mathcal{S}}) = H(S)$ , and  $|\mathcal{S}| < d(\mathcal{C}_2^\perp) \leq M_1(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp)$  for that of  $I(S; C_{\mathcal{S}}) = 0$ , respectively.  $\square$

**Remark 13.** The scheme of Duursma et al. is equivalent to the case of  $l = \dim \mathcal{C}_1 - \dim \mathcal{C}_2 = 1$ . The bounds derived by the coset distance in [6, Corollary 1.7] is equivalent to our bounds of Theorem 9 for  $l = 1$ .

The next example shows that [4, Corollary 4] is not tight.

**Example 14** ([9, p.1227]). Let  $\mathcal{C}_1$  be a binary linear code with generator matrix  $G_1$  and  $\mathcal{C}_2 \subset \mathcal{C}_1$  be a subcode with a generator matrix  $G_2$ , where

$$G_1 = \begin{bmatrix} 0 & 0 & 1 & 1 & 1 \\ 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \end{bmatrix} \quad \text{and} \quad G_2 = \begin{bmatrix} 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 0 \end{bmatrix}.$$

Then,  $d(\mathcal{C}_1) = 2$  and  $K_2(\mathcal{C}_1, \mathcal{C}_2) = 0$ . Since the RDLP is monotonically increasing,  $M_1(\mathcal{C}_1, \mathcal{C}_2) > 2$  holds. We thus have  $M_1(\mathcal{C}_1, \mathcal{C}_2) > d(\mathcal{C}_1)$ .

Next, consider the following case of Definition 1. Assume that  $N - n < d(\mathcal{D}_1)$  and  $N - n = \dim \mathcal{D}_1 - \dim \mathcal{D}_2$ . We then write  $l = \dim \mathcal{D}_1 - \dim \mathcal{D}_2 = N - n$ , and let  $\mathcal{X} = \{l + 1, \dots, l + n\}$ . Choose  $\psi$  in such a way that  $([\vec{s}, \vec{0}] + \psi(\vec{s}) + \vec{c}_2) \in \mathbb{F}^N$  is a codeword of  $\mathcal{D}_1$ . Then, this case is equivalent to the one proposed by Massey [11] and Chen et al. [4, Sect. 4.1]. We note that  $\dim \mathcal{D}_1 = \dim \mathcal{C}_1$  and  $\dim \mathcal{D}_2 = \dim \mathcal{C}_2$  since  $N - n < d(\mathcal{D}_1)$ , and that such  $\psi$  always exists. For this case, Chen et al. characterized in [4, Sect. 4.1] that any index set  $\mathcal{S} \subseteq \mathcal{X}$  offers  $I(\mathcal{S}; \mathcal{C}_{\mathcal{S}}) = 0$  if

$$|\mathcal{S}| \leq d(\mathcal{D}_1^\perp) - l - 1,$$

and  $I(\mathcal{S}; \mathcal{C}_{\mathcal{S}}) = H(\mathcal{S})$  if

$$|\mathcal{S}| \geq n + l - d(\mathcal{D}_1) + 1.$$

The following proposition proves that these bounds are not tight.

**Proposition 15.** Assume that  $N - n < d(\mathcal{D}_1)$  and  $l = N - n = \dim \mathcal{D}_1 - \dim \mathcal{D}_2$  in the secret sharing scheme defined by Definition 1. Let  $\mathcal{X} = \{l + 1, \dots, l + n\}$ , and let  $\psi$  be chosen such that  $([\vec{s}, \vec{0}] + \psi(\vec{s}) + \vec{c}_2) \in \mathcal{D}_1$ . Then, thresholds given by the right-hand side of Eq.(3) and Eq.(4) in Theorem 9 satisfy  $M_1(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp) - 1 \geq d(\mathcal{D}_1^\perp) - l - 1$  and  $n - M_1(\mathcal{C}_1, \mathcal{C}_2) + 1 \leq n + l - d(\mathcal{D}_1) + 1$ , respectively.

*Proof.* First, we prove  $M_1(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp) - 1 \geq d(\mathcal{D}_1^\perp) - l - 1$ . Let  $G \in \mathbb{F}^{\dim \mathcal{D}_1 \times N}$  be a generator matrix of  $\mathcal{D}_1$ . Then, the first  $l$  columns of  $G$  and arbitrary  $d(\mathcal{D}_1^\perp) - l - 1$  columns chosen from the last  $n$  columns of  $G$  always span  $\mathbb{F}^{d(\mathcal{D}_1) - 1}$ . This guarantees that  $I(\mathcal{S}; \mathcal{C}_{\mathcal{S}}) = 0$  if  $|\mathcal{S}| \leq d(\mathcal{D}_1^\perp) - l - 1$  [4, Sect. 4.1].

Recall that arbitrary  $d(\mathcal{D}_1^\perp) - 1$  columns of  $G$  are always linearly independent, and some  $d(\mathcal{D}_1^\perp)$  columns are linearly dependent [10]. However, in Chen et al.'s proof, only  $d(\mathcal{D}_1^\perp) - l - 1$  columns of  $d(\mathcal{D}_1^\perp) - 1$  columns are arbitrary chosen. This implies that, depending on  $\mathcal{D}_1$ , there exist cases such that the first  $l$  columns of  $G$  and arbitrary  $d(\mathcal{D}_1^\perp) - l$  columns chosen from the last  $n$  columns are always linearly independent. Then,  $I(\mathcal{S}; \mathcal{C}_{\mathcal{S}}) = 0$  if  $|\mathcal{S}| \leq d(\mathcal{D}_1^\perp) - l$ . Hence we have  $d(\mathcal{D}_1^\perp) - l - 1 \leq M_1(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp) - 1$  since the threshold given by the right-hand side of Eq.(3) is always tight.

Next we prove  $n - M_1(\mathcal{C}_1, \mathcal{C}_2) + 1 \leq n + l - d(\mathcal{D}_1) + 1$ . Since  $\mathcal{C}_1 = P_{\mathcal{X}}(\mathcal{D}_1)$  is generated by puncturing the first  $l$

symbols of each codeword in  $\mathcal{D}_1$ , we have  $d(\mathcal{C}_1) \geq d(\mathcal{D}_1) - l$ . On the other hand,  $M_1(\mathcal{C}_1, \mathcal{C}_2) \geq d(\mathcal{C}_1)$  holds since  $d(\mathcal{C}_1)$  can be represented by  $d(\mathcal{C}_1) = M_1(\mathcal{C}_1, \{\vec{0}\})$ . We thus obtain

$$M_1(\mathcal{C}_1, \mathcal{C}_2) \geq d(\mathcal{C}_1) \geq d(\mathcal{D}_1) - l.$$

This establishes  $n + l - d(\mathcal{D}_1) + 1 \geq n - M_1(\mathcal{C}_1, \mathcal{C}_2) + 1$ .  $\square$

Example 14 gives a case satisfying the inequality  $n + l - d(\mathcal{D}_1) + 1 \geq n - d(\mathcal{C}_1) + 1 > n - M_1(\mathcal{C}_1, \mathcal{C}_2) + 1$ , and the following example shows a case satisfying the inequality  $d(\mathcal{D}_1^\perp) - l - 1 < M_1(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp) - 1$  in Proposition 15.

**Example 16.** Let  $\mathcal{D}_1$  be a binary linear code with a generator matrix  $G$  and a parity check matrix  $H$  defined by

$$G = \begin{bmatrix} 1 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 \end{bmatrix} \quad \text{and} \quad H = \begin{bmatrix} 1 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 \end{bmatrix},$$

respectively. We have  $d(\mathcal{D}_1) = 2$  and  $d(\mathcal{D}_1^\perp) = 2$ . Let  $l = 1 < d(\mathcal{D}_1)$  and  $\mathcal{X} = \{2, 3, 4, 5, 6\}$ . Then,  $d(\mathcal{D}_1^\perp) - l - 1 = 0$ . However, the first column of  $G$  and arbitrary  $1 = d(\mathcal{D}_1^\perp) - l$  column chosen from the last 5 columns of  $G$  are always linearly independent. On the other hand, now consider a punctured code  $\mathcal{C}_1 = P_{\mathcal{X}}(\mathcal{D}_1)$  with a generator matrix  $G_1$  and its subcode  $\mathcal{C}_2$  with a generator matrix  $G_2$ , where

$$G_1 = \begin{bmatrix} 0 & 0 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 \end{bmatrix} \quad \text{and} \quad G_2 = \begin{bmatrix} 0 & 1 & 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 & 0 & 1 \end{bmatrix}.$$

Let  $\mathcal{C}_2^\perp$  and  $\mathcal{C}_1^\perp$  be their dual codes with generator matrices  $G'_2$  and  $G'_1$  given by

$$G'_2 = \begin{bmatrix} 0 & 1 & 0 & 1 & 0 & 0 \\ 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 \end{bmatrix} \quad \text{and} \quad G'_1 = \begin{bmatrix} 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & 1 & 0 & 0 & 1 \end{bmatrix},$$

respectively. Then, we obtain  $K_2(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp) = 1$  and hence  $M_1(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp) = 2$ . Therefore,  $M_1(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp) > d(\mathcal{D}_1^\perp) - l$  holds.

## 4. Strong Security of Secret Sharing Schemes

This section gives a refined definition of the strong security in secret sharing schemes. Further, we reveal that the scheme of Massey [11] and Chen et al. [4] can always achieve the strong security in the certain range of the number of shares, characterized by the RGHW.

### 4.1 Definition of the Strong Security

First, we introduce a special subset  $\mathcal{J}$  of  $\mathcal{X}$  called an *anti-access set*. This is the generalized definition of non-qualified sets in strongly-secure threshold ramp schemes, which is given by Yamamoto [18]. Let a secret vector be represented by  $\vec{s} = [s_1, \dots, s_l]$ , and  $S_i$  ( $1 \leq i \leq l$ ) be

a random variable whose realization is  $s_i$ . We denote by  $S_{\mathcal{J}} = (S_i : i \in \mathcal{J})$  a tuple of random variables for an index set  $\mathcal{J} \subseteq \{1, \dots, l\}$ . Assume that each share  $c_i$  ( $i \in \mathcal{X}$ ) is obtained from  $\vec{s}$  as we presented in Sect. 2.1, and  $S_1, \dots, S_l$  are uniformly distributed over  $\mathbb{F}$  and mutually independent. We then define an anti-access set as follows.

**Definition 17** (Anti-access sets). Let  $\mathcal{J} \subseteq \mathcal{X}$  be an index set. For any subsets  $\mathcal{F} \subseteq \mathcal{J}$  and  $\mathcal{E} \subseteq \{1, \dots, l\}$  with  $|\mathcal{E}| + |\mathcal{F}| = |\mathcal{J}| + 1$ , if we have

$$I(S_{\mathcal{E}}; C_{\mathcal{F}}) = 0, \quad (6)$$

$\mathcal{J}$  is called an *anti-access set*.

Now we define the  $\alpha$ -strong security of secret sharing schemes.

**Definition 18** ( $\alpha$ -strong security). A secret sharing scheme is called the one achieving  $\alpha$ -strong security if all  $\mathcal{J} \subseteq \mathcal{X}$  with  $|\mathcal{J}| = \alpha$  are anti-access sets.

Consider the case where the thresholds given by the right-hand side of Eq.(3) and Eq.(4) in Theorem 9 satisfy

$$\begin{aligned} M_1(\mathcal{C}_2^\perp, \mathcal{C}_1^\perp) - 1 &= \dim \mathcal{C}_2, \\ \text{and } n - M_1(\mathcal{C}_1, \mathcal{C}_2) + 1 &= \dim \mathcal{C}_1, \end{aligned}$$

respectively. This can be attained when both  $\mathcal{C}_1$  and  $\mathcal{C}_2$  are maximum distance separable (MDS) codes [10], e.g., Reed-Solomon codes. Then, from Theorem 9, the secret sharing scheme defined by Definition 1 is equivalent to a  $(\dim \mathcal{C}_1, \dim(\mathcal{C}_2/\mathcal{C}_1), n)$ -threshold ramp scheme [1], [18]. Moreover, if the scheme achieves the  $(\dim \mathcal{C}_1 - 1)$ -strong security, i.e., all nonqualified sets are anti-access sets, it is called a strongly-secure threshold ramp scheme [13], [18].

## 4.2 Characterization of the $\alpha$ -Strong Security

This subsection clarifies that the scheme proposed by Massey [11] and Chen et al. [4] can achieve the  $\alpha$ -strong security where the value  $\alpha$  is precisely characterized by the RGHW.

Consider the following case of Definition 1. Assume that  $N - n < d(\mathcal{D}_1)$  and  $N - n = \dim \mathcal{D}_1 - \dim \mathcal{D}_2$ . We then write  $l = \dim \mathcal{D}_1 - \dim \mathcal{D}_2 = N - n$ , and let  $\mathcal{X} = \{l + 1, \dots, l + n\}$ . Choose  $\psi$  in such a way that  $([\vec{s}, \vec{0}] + \psi(\vec{s}) + \vec{c}_2) \in \mathbb{F}^N$  is a codeword of  $\mathcal{D}_1$ . Then, this case is equivalent to the one proposed by Massey [11] and Chen et al. [4, Sect. 4.1]. We note that  $\dim \mathcal{D}_1 = \dim \mathcal{C}_1$  and  $\dim \mathcal{D}_2 = \dim \mathcal{C}_2$  since  $N - n < d(\mathcal{D}_1)$ , and that such  $\psi$  always exists.

Without any loss of generality, we suppose that  $\mathcal{D}_1$  is a systematic code. In other words, a generator matrix  $G_1$  of  $\mathcal{D}_1$  is defined by the systematic form,

$$G_1 = [I \quad P] \in \mathbb{F}^{\dim \mathcal{D}_1 \times N},$$

where  $I$  is an identity matrix. Also, a generator matrix  $G_2$  of

$\mathcal{D}_2$  consists of last  $\dim \mathcal{D}_2$  rows of  $G_1$ . Under these suppositions, we have the following theorem.

**Theorem 19.** Assume  $N - n < d(\mathcal{D}_1)$  and  $l = N - n = \dim \mathcal{D}_1 - \dim \mathcal{D}_2$  in the secret sharing scheme defined by Definition 1. Let  $\mathcal{X} = \{l + 1, \dots, l + n\} \subset \mathcal{A}$ , and let  $\psi$  be chosen such that  $([\vec{s}, \vec{0}] + \psi(\vec{s}) + \vec{c}_2) \in \mathcal{D}_1$ . Let  $\mathcal{Y}_i = \{1, \dots, l + n\} \setminus \{i\}$  be another index set for  $1 \leq i \leq l$ , and define a punctured code  $\mathcal{G}_{1,i} = P_{\mathcal{Y}_i}(\mathcal{D}_1)$  and a shortened code  $\mathcal{G}_{2,i} = (\mathcal{D}_2)_{\mathcal{Y}_i}$ . Then, the secret sharing scheme defined by Definition 1 can achieve the  $\alpha$ -strong security for

$$\alpha = \min \left\{ M_1(\mathcal{G}_{2,i}^\perp, \mathcal{G}_{1,i}^\perp) : 1 \leq i \leq l \right\} - 1.$$

*Proof.* Since we supposed that a generator matrix  $G_1$  of  $\mathcal{D}_1$  is systematic, the secret sharing scheme generates shares  $c_{l+1}, \dots, c_{l+n}$  by

$$\begin{aligned} [s_1, \dots, s_l, c_{l+1}, \dots, c_{l+n}] \\ = [s_1, \dots, s_l, r_{l+1}, \dots, r_{\dim \mathcal{D}_1}] G_1, \end{aligned} \quad (7)$$

where  $r_{l+1}, \dots, r_{\dim \mathcal{D}_1}$  are chosen from  $\mathbb{F}$  at random. This guarantees that, for each  $i \in \{1, \dots, l\}$ ,  $\mathcal{G}_{2,i}$  is a subcode of  $\mathcal{G}_{1,i}$  with dimension  $\dim \mathcal{G}_{2,i} = \dim \mathcal{G}_{1,i} - 1 = \dim \mathcal{D}_1 - 1$ . We have supposed that  $s_1, \dots, s_l$  are mutually independent and uniformly distributed over  $\mathbb{F}$ . Hence, for each  $i \in \{1, \dots, l\}$ , the secret sharing scheme given by Eq.(7) can be viewed as another secret sharing scheme that generates shares  $s_1, \dots, s_{i-1}, s_{i+1}, \dots, s_l, c_{l+1}, \dots, c_{l+n}$  from one secret element  $s_i$ . Namely, a secret sharing scheme with  $\mathcal{D}_1$ , subcode  $\mathcal{G}_{2,i} \subset \mathcal{D}_1$ , and their punctured codes  $P_{\mathcal{Y}_i}(\mathcal{D}_1) = \mathcal{G}_{1,i}, P_{\mathcal{Y}_i}(\mathcal{G}_{2,i}) = \mathcal{G}_{2,i}$  for an index set  $\mathcal{Y}_i$ . Therefore, Theorem 9 yields that for any index sets  $\mathcal{R}_1 \subseteq \{1, \dots, l\} \setminus \{i\}$  and  $\mathcal{R}_2 \subseteq \{l + 1, \dots, l + n\}$  with  $|\mathcal{R}_1| + |\mathcal{R}_2| \leq M_1(\mathcal{G}_{2,i}^\perp, \mathcal{G}_{1,i}^\perp) - 1$ , we have

$$I(S_i; S_{\mathcal{R}_1}, C_{\mathcal{R}_2}) = 0. \quad (8)$$

Next consider the mutual information between a subset of secret elements and a subset of shares. Let  $\mathcal{E} = \{k_1, \dots, k_{|\mathcal{E}|}\} \subseteq \{1, \dots, l\}$  and  $\mathcal{F} \subseteq \{l + 1, \dots, l + n\}$  be arbitrary index sets. We then have

$$\begin{aligned} I(S_{\mathcal{E}}; C_{\mathcal{F}}) &= H(S_{k_1}, \dots, S_{k_{|\mathcal{E}|}}) - H(S_{k_1}, \dots, S_{k_{|\mathcal{E}|}} | C_{\mathcal{F}}) \\ &= \sum_{j=1}^{|\mathcal{E}|} H(S_{k_j}) - \sum_{j=1}^{|\mathcal{E}|} H(S_{k_j} | C_{\mathcal{F}}, S_{k_1}, \dots, S_{k_{j-1}}) \\ &= \sum_{j=1}^{|\mathcal{E}|} I(S_{k_j}; C_{\mathcal{F}}, S_{k_1}, \dots, S_{k_{j-1}}), \end{aligned}$$

from the chain rule of conditional entropy [5]. Since the mutual information is nonnegative, we have  $I(S_{\mathcal{E}}; C_{\mathcal{F}}) = 0$  if and only if  $I(S_{k_j}; C_{\mathcal{F}}, S_{k_1}, \dots, S_{k_{j-1}}) = 0$  for all  $k_j \in \mathcal{E}$ . If  $|\mathcal{E}| + |\mathcal{F}| \leq M_1(\mathcal{G}_{2,k_j}^\perp, \mathcal{G}_{1,k_j}^\perp)$ , by substituting  $i = k_j$ ,  $\mathcal{R}_1 = \{k_1, \dots, k_{j-1}\}$  and  $\mathcal{R}_2 = \mathcal{F}$  in Eq.(8), we always have  $I(S_{k_j}; C_{\mathcal{F}}, S_{k_1}, \dots, S_{k_{j-1}}) = 0$  for only  $k_j$ . Thus, for any index sets  $\mathcal{E}$  and  $\mathcal{F}$  satisfying

$$|\mathcal{E}| + |\mathcal{F}| \leq \min \left\{ M_1(\mathcal{G}_{2,i}^\perp, \mathcal{G}_{1,i}^\perp) : 1 \leq i \leq l \right\},$$

we always have  $I(S_{k_j}; C_{\mathcal{F}}, S_{k_1}, \dots, S_{k_{j-1}}) = 0$  for all  $k_j$  simultaneously, and hence  $I(S_{\mathcal{E}}; C_{\mathcal{F}}) = 0$  holds. Therefore, every subset of  $\{l+1, \dots, l+n\}$  with cardinality at most

$$\alpha = \min \left\{ M_1(\mathcal{G}_{2,i}^\perp, \mathcal{G}_{1,i}^\perp) : 1 \leq i \leq l \right\} - 1,$$

is an anti-access set.  $\square$

The value  $\alpha$  in Theorem 19 for the  $\alpha$ -strong security is as tight as the bounds in Theorem 9. This is because as follows. Let  $j \in \{1, \dots, l\}$  be an element satisfying  $M_1(\mathcal{G}_{2,j}^\perp, \mathcal{G}_{1,j}^\perp) = \min \left\{ M_1(\mathcal{G}_{2,i}^\perp, \mathcal{G}_{1,i}^\perp) : 1 \leq i \leq l \right\}$ . Then, since the threshold given by the right-hand side of Eq.(3) in Theorem 9 is tight, there always exists at least one combination of subsets  $\mathcal{T}_1 \subseteq \{1, \dots, l\} \setminus \{j\}$  and  $\mathcal{T}_2 \subseteq \{l+1, \dots, l+n\}$  with  $|\mathcal{T}_1| + |\mathcal{T}_2| = M_1(\mathcal{G}_{2,j}^\perp, \mathcal{G}_{1,j}^\perp)$  that satisfy  $I(S_j; S_{\mathcal{T}_1}, C_{\mathcal{T}_2}) > 0$ . Hence, there exist subsets of  $\{l+1, \dots, l+n\}$  with cardinality  $M_1(\mathcal{G}_{2,j}^\perp, \mathcal{G}_{1,j}^\perp)$  that do not satisfy Definition 17.

The thresholds given by Theorem 9 are independent of the distribution of  $\vec{s}$  as shown in Corollary 11. In contrast,  $\vec{s}$  must be uniformly distributed over  $\mathbb{F}^l$  to establish Theorem 19. This is because elements of  $\vec{s}$  need to be treated as random numbers that are mutually independent and uniformly distributed over  $\mathbb{F}$ , as shown in the proof of Theorem 19.

It is proved in [8] that the secret sharing scheme with the same setting as Theorem 19 achieves the  $(d(\mathcal{D}_1^\perp) - 2)$ -strong security. Unlike Theorem 19, this characterization by the minimum Hamming weight is not tight.

**Proposition 20.** Assume that  $N - n < d(\mathcal{D}_1)$  and  $l = N - n = \dim \mathcal{D}_1 - \dim \mathcal{D}_2$  in the secret sharing scheme defined by Definition 1. Let  $\mathcal{X} = \{l+1, \dots, l+n\}$ , and let  $\psi$  be chosen such that  $([\vec{s}, \vec{0}] + \psi(\vec{s}) + \vec{c}_2) \in \mathcal{D}_1$ . Let  $\mathcal{Y}_i = \{1, \dots, N\} \setminus \{i\}$  be another index set for  $1 \leq i \leq l$ , and define a punctured code  $\mathcal{G}_{1,i} = P_{\mathcal{Y}_i}(\mathcal{D}_1)$  and a shortened code  $\mathcal{G}_{2,i} = (\mathcal{D}_1)_{\mathcal{Y}_i}$ . Then, we have

$$\min \left\{ M_1(\mathcal{G}_{2,i}^\perp, \mathcal{G}_{1,i}^\perp) : 1 \leq i \leq l \right\} - 1 \geq d(\mathcal{D}_1^\perp) - 2.$$

This can be proved in a similar way to the proof of Proposition 15. Hence the proof is omitted. We note that Example 16 also gives a case of  $\min \left\{ M_1(\mathcal{G}_{2,i}^\perp, \mathcal{G}_{1,i}^\perp) : 1 \leq i \leq l \right\} - 1 > d(\mathcal{D}_1^\perp) - 2$  for  $l = 1$ .

## 5. Conclusion

This paper has given a precise characterization of secret sharing schemes based on arbitrary linear codes. We have characterized thresholds  $t_1$  and  $t_2$  by the relative generalized Hamming weight (RGHW). One shows that any set of at most  $t_1$  shares leaks no information about the secret, and the

other shows that any set of at least  $t_2$  shares uniquely determines the secret. Moreover, this paper has precisely characterized the strong security in secret sharing schemes by the RGHW, as a generalization of strongly-secure threshold ramp schemes. These characterizations enable to determine the property of secret sharing schemes from the parameters of linear codes, when we design systems using secret sharing schemes.

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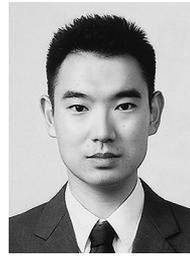
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